

Firm Overview

INTELLIGENTLY PERSONALIZED HIGH-NET-WORTH
INVESTMENT MANAGEMENT SOLUTIONS

For over 30 years, City National Rochdale has specialized exclusively in providing intelligently personalized portfolio management for high-net-worth individuals, families, and institutions.

CITY NATIONAL ROCHDALE AT A GLANCE

\$39B*

assets under
management

*As of January 31, 2019

30+ years

average professional
experience of asset allocation
committee members

80+%

investment consultants and
portfolio managers who are
CFA charterholders

90+%

historical client
retention rate

KEY PRINCIPLES AND CLIENT BENEFITS

- Achievement of Financial Goals
- Active Risk Management
- Tax Management
- Private Portfolio Manager
- Research-Driven Portfolio Design

OFFICE LOCATIONS

New York, NY
Beverly Hills, CA
Irvine, CA
La Jolla, CA¹
San Francisco, CA
Lake Mary, FL
Chicago, IL
Houston, TX

NATIONAL COVERAGE

33 Portfolio Managers
21 Investment Consultants

INVESTMENT STRATEGIES

Equities
Core Fixed Income
Opportunistic Income
Real Assets
Alternative Investments[†]

¹Investment advisory office location.

[†]Alternative investments are speculative, entail substantial risks, offer limited or no liquidity, and are not suitable for all investors.

Investment management services provided by City National Bank through its wholly owned subsidiary City National Rochdale, LLC, a registered investment advisor.

Non-deposit Investment Products: ■ are not FDIC insured ■ are not Bank guaranteed ■ may lose value

OUR PROCESS

Our personalized approach to investment management is designed for clients seeking portfolio strategies that address their unique investment needs, risk tolerances, market environment, and investment opportunities.

Client Conversation

City National Rochdale actively listens to the client's investment objectives in order to develop an insightful "personal benchmark" that is unique to each individual.



Personal Benchmark

A customized blueprint for each client's portfolio, supported by in-depth research and guided by a unique investment policy statement.



Portfolio Management

Our highly educated and experienced portfolio managers customize each portfolio to the exact needs of every client.

SPECIALIZED & CORE STRATEGIES

Equities

- Domestic Equities
- International and Emerging Markets
- High Dividend Strategies

Opportunistic Income

- High-Yield Strategies
- Inflation Protection Strategies
- Hedged Strategies (for qualified investors)
- Structured Credit (for qualified investors)

Core Fixed Income

- Taxable Strategies
- Tax-Exempt Strategies
- Liquidity Management

Alternative Investments and Real Assets[†]

- Non-correlated, non-traditional strategies

[†]Alternative investments are speculative, entail substantial risks, offer limited or no liquidity and are not suitable for all investors.

TO LEARN MORE, VISIT [CNR.COM](https://www.cnr.com) OR FOLLOW US ON OUR SOCIAL MEDIA



Important Disclosures

The information presented does not involve the rendering of personalized investment, financial, legal, or tax advice.

As with any investment strategy, there is no guarantee that investment objectives will be met, and investors may lose money.

Non-deposit Investment Products: ■ are not FDIC insured ■ are not Bank guaranteed ■ may lose value

Beverly Hills | New York | 800-245-9888 | [cnr.com](https://www.cnr.com)